

Notice Inviting EOI

Expression of Interest(EOI) for Empanelment of Agency/Agencies for Implementation of Integrated Plastic Waste Management Unit/project in Uttar Pradesh.

Expression of Interest (EOI) for Empanelment of Agency/Agencies for
Implementation of Integrated Plastic Waste Management Unit/project in Uttar Pradesh.



Ref No. :- 5 /65 /2025-5 /30 /2022

: Date: 23 /01/2025

**STATE SWACHH BHARAT MISSION- GRAMEEN (SSBMG)
PANCHAYATI RAJ DEPARTMENT-(PRD)
GOVERNMENT OF UTTAR PRADESH**

STATE SANITATION MISSION

PANCHAYATI RAJ DEPARTMENT-(PRD)

GOVERNMENT OF UTTAR PRADESH

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1. TEXT OF ADVERTISEMENT

**Mission Director, Swachh Bharat Mission Grameen, UP
Directorate of Panchayatiraj Department Uttar Pradesh
Lohiya Bhavan, Aliganj, Lucknow- 226024**

INVITATION FOR EXPRESSION OF INTEREST

Mission Director SBM(G) UP of State Sanitation Mission invites sealed Expression of Interest (EOI) for Empanelment of agencies from India under the Sanitation Scheme **(Plastic waste Management)** Swachh Bharat Mission Grameen.

The EOI Document containing the details of qualification criteria, submission requirement, brief objective & scope of work and evaluation criteria etc. can be downloaded from the website <https://sbmgup.in/>

Further details, if any, may be obtained from Nodal Officer SBMG, UP, 1st floor Lohiya Bhawan, Aliganj, Lucknow-226024 during working hours.

Last date for submission of EOI is 26 Feb 2025 up to 1400 hrs. Sealed envelope marked to the captioned address, containing EOI and non-refundable fee of Rs 5000.00 by way of DD/Pay Order in favour of "Mission Director SBMG UP", payable at Lucknow may be submitted mentioning "Empanelment of agencies under the Sanitation Scheme (Plastic waste Management) Swachh Bharat Mission Grameen," on the top cover:

"Mission Director SBMG, UP,
Directorate of Panchayatiraj Department Uttar Pradesh
1st floor Lohiya Bhawan, Aliganj, Lucknow-226024"

Applicants meeting the qualification criteria may be invited for presentation/proposal before the selection committee of State Sanitation Mission UP.

Raj Kumar

Mission Director, Swachh Bharat Mission(Grameen)
Directorate of Panchayatiraj Department, Uttar Pradesh
1st floor Lohiya Bhawan, Aliganj, Lucknow-226024

Note: Mission Director SBMG UP or any of its designates reserves the right to cancel this request for EOI and/or invite afresh with or without amendments, without liability or any obligation for such request for EOI and without assigning any reason. Information provided at this stage is indicative and State Sanitation Office reserves the right to amend/add further details in the EOI.

2. LETTER OF INVITATION

State Sanitation Mission UP
Directorate of Panchayatiraj Department Uttar Pradesh
Lohiya Bhavan, Aliganj, Lucknow– 226024

No.

Dated:

Dear Sir/Madam,

Mission Director SBM(G) UP of State Sanitation Mission invites sealed Expression of Interest (EOI) for Empanelment of agencies from India under the Sanitation Scheme **(Plastic waste Management)** Swachh Bharat Mission Grameen Uttar Pradesh.

The EOI Document containing the details of qualification criteria, submission requirement, brief objective & scope of work and method of evaluation etc. is enclosed.

The EOI Document is also available on the website <https://sbmgup.in/>

You may submit your responses in sealed envelopes in prescribed format to the undersigned latest by 26 Feb 2025.

“Nodal Officer SBMG UP/ Deputy Director (P)”,
Directorate of Panchayatiraj Department Uttar Pradesh
1st floor Lohiya Bhawan, Aliganj, Lucknow-226024

Queries if any may be referred in writing to the Nodal Officer SBMG UP, at the above mentioned address or at E-mail: sbmmonitoring2018@gmail.com

S.No.	Critical Dates	Date	Time
1.	Publishing Date	30 Jan 2025	
2.	Document Download Start Date	05 Feb 2025	12.00hrs
3.	Clarification of bid starts	06 Feb 2025	10.00hrs
4.	Clarification of bid End	08 Feb 2025	18.00hrs
5.	Document Download End Date	14 Feb 2025	12.00hrs
6.	Bid Submission Start Date	15 Feb 2025	10.00hrs
7.	Bid Submission End Date	26 Feb 2025	14.00hrs
8.	Bid Opening Date	27 Feb 2025	15.00hrs

S.N. Singh

Nodal Officer SBMG UP/ Deputy Director (P),
Directorate of Panchayatiraj Department Uttar Pradesh
1st floor Lohiya Bhawan, Aliganj, Lucknow-226024

Encl.: EOI Document.

3. Background:

Uttar Pradesh being the most populous state in the country having 826 blocks, 57691 GPs and 95767 revenue villages. It was very challenging to achieve the phenomenal task of achieving the status of an ODF state. Not only that, to sustain the ODF status and to steps forward for developing Model villages with respect to proper management of Solid & Liquid waste is much more demanding.

In spite of the challenges, the state strived to achieve the objective of ODF plus and in September 2023, accomplished the target of an ODF Plus state. All revenue villages declared themselves in any of the 3 categories viz. Aspiring, Rising and Model. Following are the major aspects that contributed for achieving the status.

Plastic waste management refers to the collection, storage, transportation, and disposal of plastic waste in an environmentally safe manner. For plastic waste management in rural areas, the following functions need to be carried out: a) Segregation, collection, storage, transportation of plastic waste and channelization of recyclable plastic waste fraction to recyclers having valid registration; ensuring that no damage is caused to the environment in the process b) Awareness generation among all stakeholders about their responsibilities c) Prevention of open burning of plastic waste Implementation of Plastic Waste Management would involve the following » Storage Facility at Village level » Material Recovery Facility/Plastic Waste Management Unit at District/Block level

3.1 Project Objective

- (i) Support the villages to safely manage their plastic waste and make the villages clean.
- (ii) Fulfilling the criteria of making the villages free from Single Use plastics.
- (iii) To promote environmental sanitation and help the villages for safe disposal of plastic waste.
- (iv) Enable to reuse and recycle the plastic waste and generate some income from it.
- (v) Create an environment of learning for other blocks/districts
- (vi) We require an agency per Division of the state (minimum)

3.2 EOI Processing Fees

A non-refundable processing fee for Rs. 5,000/- (Five Thousand Rupees only) in the form of a Demand draft or a Pay Order drawn in favour of “Mission Director SBMG UP”, payable at Lucknow has to be submitted along with the EOI response. Bids received with or without inadequate EOI processing fees shall be liable to get rejected.

3.3 Validity period

The EOI offer must be valid for a minimum of 180 days from the date of opening of the EOI. However, the SSBMG may extend this period at its sole discretion, information of which will be communicated to the participating bidder.

4. Instructions to the Bidders

4.1 Bid submission

Bidder will submit eligibility + technical proposal duly subscribed as eligibility + technical proposal. –“Eligibility, Technical Bid for the hiring of Agency/Agencies for Implementation of Plastic Waste Management Unit (PWMU) in Uttar Pradesh“.

4.2 Submission of EOI Offers

- (i) The Bidder has to follow the EOI norms and bid to be submitted on the same in envelopes i.e. technical.
- (ii) Mission Director may, at its discretion, extend this deadline for submission of offers by issuing a corrigendum and uploading the same on the Departmental website.

4.3 Method of Submission of EOI Form

The Bidder has to follow the EOI in norms.

- (i) The Technical bid shall be prepared as per the Technical bid format at Annexure-B
- (ii) The Technical bid shall be submitted exactly as per the format given. Any deviation will lead to the rejection of the proposal.
- (iii) State Swachh Bharat Mission (SSBMG) will first open the Eligibility + Technical Bids. The bids meeting the eligibility criteria shall be further considered for Evaluation. The technical evaluation of the bids will be carried out by the Bid Evaluation committee appointed by Mission Director, to assess and finalize the acceptability of the bids.

4.4 Clarification of Bids

A prospective bidder requiring any clarification of the bidding documents may notify the SSBM-G in writing or through e-mail given in the information sheet before specified date. Any such clarification should reach SSBMG before the bid opening. Requests for clarification on the telephone will not be entertained. Reply to clarifications / amendments / addendum, if any, will be published on the website as a corrigendum to EOI.

4.5 Late Offers/ Late bid

Receiving/Reaching of bid/bids after due date and time will not be entertained under any circumstances and will be treated as a late bid. Bid received late after the deadline will be summarily rejected.

4.5 Document

The EOI document is available at the departmental website and downloadable from (<https://sbmgup.in/>)

4.6 Erasure, Alternation & Signing of EOI

Documents should contain no interlineations, erasures or overwriting. The only authorized person shall sign on all pages of the documents.

4.7 Right to Alter Items

The SSBMG UP reserves the right to include or exclude any EOI item(s) and also reserves the right to make changes in specifications in the pre-bid meeting.

4.8 Modification and Withdrawal of Offers

The bidders will not be allowed to modify their bids after final submission. Withdrawal of the original offer will not be allowed after the opening of the bid. No offer can be modified by the Bidder, once bid/bids are finally submitted. However, a bidder can withdraw from the bid process before the opening of bids.

4.9 Preliminary Scrutiny

Prior to the detailed evaluation, the SSBMG will determine the substantial responsiveness of each offer to the EOI documents. For purpose of this clause, a substantially responsive bid is one, which is in conformity with all the terms and conditions of the EOI Documents without any material deviations as per Annexure-A. The determination of an offer's responsiveness will be based on the contents of the offer itself without recourse to extrinsic evidence.

4.10 Evaluation

Prior to the detailed evaluation, the SSBMG will determine the substantial responsiveness of the bid. The selection process will be as under:

- (i) Screening based upon qualification criteria
- (ii) Technical evaluation

The bidder should have PAN and GST registration on their name or on the name of the company, firm, Agency/Agencies participating in the bid process.

4.11 Amendment of bidding Document

- (i) At any time prior to the deadline for submission of bids, the SSBMG may, for any reason, whether on its own initiative or in response to the request for clarification by a prospective bidder, modify the bidding documents.
- (ii) In order to allow prospective bidders reasonable time to take into consideration the amendments while preparing their bids, the SSBMG at its discretion may extend the deadline for the submission of bids.

4.12 Language of Bid

The bid and all correspondence and documents relating to the bid exchanged by the bidder and the SSBMG shall be in English language only. Supporting documents and printed literature furnished by the bidder may be in another language provided they are accompanied by an appropriate translation in English language and in such a case, for purpose of interpretation of the bid, the translation shall govern.

4.13 Instructions to the Agencies

The Expression of Interest is to be submitted in the manner prescribed below: -

All information as detailed below is to be submitted in two hard copies in separately sealed envelopes and one soft copy: -

- (i) Applicant's Expression of Interest as per Format-1.
- (ii) Organizational Contact Details as per Format-2.
- (iii) Experience of the organization as per Format-3.
- (iv) List of three (03) manpower's on payroll as per Format-4.
- (v) Financial strength of the company as per Format-5.
- (vi) Additional information as per Format-6.
- (vii) Declaration as per Format-7.

- (viii) Power of Attorney in favour of Authorized Signatory with long and short signatures of Authorized person.

5. SCOPE OF WORK (Terms of Reference)

5.1 The details of Plastic Waste Management Unit (PWMU) to be set up at block level will be as under:

- (i) An agency having adequate qualification and experience (as mentioned in the bid document) will be impaneled to Run (O&M) of the PWMU/IPWMU. Apart this Prior to starting of the project, a feasibility study to be conducted by the agency about effectiveness of the project
- (ii) DSC will be responsible to set up a Plastic Waste Management Unit (PWMU) at identified block/s of the district including Machineries such as- Shredding machine, bailing machine and a Dust remover are the 3 basic requirements along with other necessary items. The agency can install other machines out of his own funds.
- (iii) The specifications of the above machines should be as per the quantity of plastic waste to be processed and quality of processing required by the recovery units on the recommendation of basis feasibility study report.
- (iv) The PWM unit/ IPWMU must have a shed, adequate storage space to keep the plastic wastes received from the GPs, Mandatory facilities for the workers and a boundary for safety of the unit.
- (v) The Unit must have facility for uninterrupted electricity supply and it should be as per the requirement/prescribed norm. The DPRO of the concerned district will support the agency for extending electric connection. The electricity bills of the unit as well as the premises will be born by the Agency/ Vendor.
- (vi) The selected agency has to take charge and responsibility of Operation and Maintenance of the PWM center. The PWMU/IPWMU has to receive, segregate and recycle the waste material and sell to end user manufacturer.
- (vii) The agency will be responsible for collecting plastic wastes from different segregation centers/ Places established in all GPs which are mapped with that PWMU.
- (viii) The agency will be responsible for taking all required NOCs/ Certificates from other relevant govt. departments regarding processing & management of Plastic/waste materials.
- (ix) Agency/ Vendor will insure the labor contract, labor law, Accidental and death benefits of workers as well as miss-happenings related issues. There will be no liability of DSC or any other Govt. department.
- (x) Forward & Backward linkages to establish for further processing of different types of plastic wastes.
- (xi) Local entrepreneurs engaged in this business like waste collectors/ Kabadiwalas may be given preference for setting up of PWMU.
- (xii) Contract period with agency is minimum 3 year or District Sanitation committee (DSC) will take decision on contract period.
- (xiii) If the performance of the agency founds to be unsatisfactory, the District Sanitation committee (DSC) can take further necessary action.
- (xiv) The Empanelment of agencies will not be considered as allotment of work, work can be allotted only after empanelment and availability of budget and approval from competent level.

Funding Source: An amount of **Rs. 16.00 lakh per block** to be utilized from SBM (G) fund.

5.1.1 Duration of Engagement of Agency/Agencies

- (i) The Agency/Agencies will be selected for a period of a minimum of 36 months or till the project end from the date of signing of the contract, Commencement period will be within 15 days from the date of the Contract. However, this empanelment is not a legal commitment by the SSBM-G Mission assuring engagements. SSBM-G is only facilitating the implementing agencies (District Swachh Bharat Mission-G) with a list of organizations empanelled after scrutinizing the organizational, technical & financial capability.
- (ii) The selected agencies would contact by the District to process engagement with the Implementing bodies i.e. District SBM-G. After negotiations, a contract/MoU (Annexure- C) would be signed between the Agency/Agencies and entity specifying the actual scope of work and terms and conditions. The contract can be further extended with the mutual consent of both parties but on the same terms and conditions.
- (iii) SSBMG with its entities should not be held responsible for any kind of damage/loss /legal disputes in course of implementation of the assignment by the Agency/Agencies.
- (iv) If the performance of the Agency/Agencies is found unsatisfactory, or if any complaints (for corrupt or fraudulent practices or forgery) regarding the Agency/Agencies received, the contract may be cancelled.

5.2 Detailed Scope of work

Upon signing MoU, the Agency/Agencies will be responsible for developing a detailed project proposal in consultation with the concerned District. The project proposal should detail out volume of plastic waste assessment, site selection, suitable technology options, operation and maintenance till three years, plan sand processes, processes of management of plastic waste, options for viability, gap funding, options for market linkages and project risks.

For detailed work under Plastic Waste Management Unit, interested agencies may go through the guidelines issued by the Ministry of Drinking Water& Sanitation, Govt. of India.

- (i) A plastic waste management unit/materials recovery facility, materials reclamation facility, materials recycling facility or Multi Re-use Facility (MRF) is a specialized plant that receives and segregates recyclable materials which may be marketed to end-user manufacturers.
- (ii) Plastic waste management unit shall ideally be set up at Block level and shall cater to all GPs within the block. District/block shall identify an appropriate location for setting up of PWMU. The PWMU operating agency could be Self Help Group (SHG)/Non-Governmental Organizations (NGOs)/private enterprises.
- (iii) PWMU should have storage facilities of plastic received from GPs, the unit shall have a dust remover, a shredding machine and a bailing machine, among other necessary items.

5.3 Principle of Establishing Plastic Waste Management Unit

- (i) Clustering of Blocks to map with Plastic Waste Management Unit
- (ii) Selection of appropriate site: Site that is centrally located, away from drinking water sources, having uninterrupted electricity supply, etc.
- (iii) Procurement of appropriate plastic dust remover/baler and shredder: As per the applicable financial rules block/district shall procure the appropriate dust

- remover/baler/ shredder of required capacity based on the quantity of plastic waste to be processed and quality of processing required by the recovery units
- (iv) Regular monitoring of O&M: District Water and Sanitation Mission/Committee (DWSM/DWSC) to do regular monitoring of O&M.

5.4 Requirements for the block level Plastic Waste Management Unit:

The processing of plastic waste at the block level will require the following resources.

General resources:

- (i) Land, space, and machinery: The minimum required for the unit will be 1500 sq. feet for the machinery and storage.
- (ii) Basic amenities: Basic amenities such as permanent three phase electricity connection and stable water supply will be required along with functional toilet. Permissions: Required permissions from the concerned authorities may be applied and received before commencement of the unit.
- (iii) Electricity Connection (commercial) as per the capacity load as suggested by the department. Generator may be opted where the electricity supply is erratic and irregular.

5.5 Role of State SBM-G

- (i) Support in the field for the implementation of the project.
- (ii) Facilitate workstation space to Implementing Agency/Agencies at respective District
- (iii) Review the progress of Implementing Agency/Agencies engagement.
- (iv) Facilitate training, capacity building and IEC activity as per requirement.
- (v) Facilitate certification, brand promotion, and product development strategy.

5.6 Role of District

- (i) The District will be the Nodal Agency for planning, implementation, monitoring and approval of block level PWM Unit.
- (ii) The District will have the flexibility to decide implementation mechanisms.
- (iii) District can take up model project at District/Block level/cluster level.
- (iv) District will analyze the requirement of IPWMU (Integrated Plastic waste management Unit) by clustering of blocks.
- (v) After analyzing the requirement District will identify the appropriate and sufficient land in size. Also District will establish the IPWMU/PWMU with its minimum and basic requirements as per norms/guidelines.
- (vi) In case of establishing IPWMU District can establish a ware-houses in other connected/ mapped blocks with that particular IPWMU.
- (vii) Additional requirements of funds for the cluster and community projects at the District/Block/GP level can be met from other funding sources such as the 15th Finance Commission, ZP/KP CFC funds (Tide Grant for Sanitation), MPLAD, MLALAD, CSR, etc.
- (viii) District will insure profit sharing model with the consultation of the Agency in writing.
- (ix) A VGF (Viability Gap Funding) model can be adopted.

5.7 Role of Agency

- (i) The agency will be responsible for collecting plastic wastes from different

segregation centers/ Places established in all GPs as well as ware-houses of blocks which are mapped with that IPWMU/PWMU.

- (ii) The agency will be responsible for taking all required NOCs/ Certificates from other relevant govt. departments regarding processing & management of Plastic/ waste materials.
- (iii) Forward & Backward linkages to establish for further processing of different types of plastic wastes.
- (iv) Prior to starting of the project, a feasibility study to be conducted by the agency about effectiveness of the project
- (v) The selected agency has to take charge of Operation and Maintenance of the IPWMU/PWMU center.
- (vi) Agency has to share the profit on monthly basis from running the unit with the consultation of the District in writing.
- (vii) A VGF (Viability Gap Funding) model can be adopted.

5.8 Role of Block:

- (i) Block has to identify the spot for setting up of PWM unit if required. It should be a Govt. land with no dispute.
- (ii) It has to coordinate with the agency for establishment of PWMU like- monitoring construction quality, checking the quality of equipment's used (as per approved standard).
- (iii) Establish liaison with potential companies to use the bi-products for road construction.

5.9 Role of Gram Panchayat

- (i) The GP has to support the agency to collect plastic wastes from GP level segregation centers.
- (ii) GP may buy the bi-product of the plastic waste i.e. plastic bricks (if produced) from the agency for use in interlocking/construction work.

Note: Waste management/plastic waste rules 2024 will be insured at each level

5.10 Reporting:

District SBM-G will be responsible and support the identified block/s for implementation of the PWM project at block level. After site selection, Implementing Agency/Agencies will report to District SBM-G and prepare the DPR with a copy to concerned block and SSBMG.

5.11 Manpower Deployment:

The Agency/Agencies shall submit details of Block level Project Manager/Project Coordinator & other project personnel who will run the PWMU (including Educational/ experience certificate, offer letter) before 15 days of the commencement of work.

Any change/replacement in the same will be reported to SSBMG with due reason and their placement will only be allowed with the persons having similar or higher qualification/experience.

6. Project Activity TimeLine:

<u>Sr. No.</u>	<u>Activity</u>	Particular
1	Block level PWM Unit	DPR Preparation
		No. of Manpower deployment (Project Manager/Project Coordinator and other semi-skilled persons)
		Operationalization
		Operation & Maintenance

6.1 Suggested Project Cycle

Following is the suggested project cycle for setting up of Plastic Waste Management Unit. The project cycle is advisory in nature and implementer can combine activities and phases to fast track implementation of PWM Unit.

Phases	Key Activities	Tentative Timeline
Inception Phase	<ul style="list-style-type: none"> The agency has to undertake a situation analysis about the type and quantity of Plastic waste generated at GP level segregation centers of the block on random basis. Identify the GPs where the possibility of gathering more quantity of Plastic waste Helping in site selection for setting up of PWMU in consultation with District/block 	10 Days
Planning Phase	<ul style="list-style-type: none"> Preparation of project plan document with the support of identified/empaneled agency. Selection and appointment of the technician/Skilled personnel to run the PWMU. Seek approval for administrative and technical proposals from competent authorities. O&M plan should also be part of the project proposal. Open a ledger in the existing Project account for receipt and management of funds for establishment of PWMU. 	15 days
Implementation Phase	<ul style="list-style-type: none"> Initiate construction of PWMU based on approved project proposal. Ensure supervision of the construction and installation of required machineries at each stage (no compromise to be made on the quality of the machinery) Ensure timely completion and commissioning of PWM unit Train some local people to operate the machineries in case of exigency or O&M of the 	30 days

	PWMU	
Operation and Maintenance Phase	<ul style="list-style-type: none"> Undertake regular preventive maintenance and take necessary corrective measures timely 	Continuous

7. ELIGIBILITY/ QUALIFICATION CRITERIA (The bidder has to submit all relevant documents/ Copies as proof for Qualifying)

- (i) The implementing Agency/Agencies can be private firm/ technical institute/NGO/ Entrepreneur or similar entity with experience in designing and implementing Plastic waste management. Joint venture/ consortium may also be considered. However, in case of joint venture/consortium, a photocopy of the agreement signed for joint venture/consortium should be submitted wherein the Lead Partner should be clearly mentioned.
- (ii) The implementing Agency/Agencies must be registered under Society Registration Act/Trust Act/Cooperative Act/ Companies act/ Partnership Act/ Proprietorship Act. Signed and stamped photocopies of supporting documents should be attached.
- (iii) The Agency/Agencies/ Institutions including Joint Ventures must have established in Uttar Pradesh or must have their office in Uttar Pradesh. (supporting documentation should be attached).
- (iv) The Agency/ Agencies/ Institutions including Joint Ventures must possess atleast 3 years' experience of establishing or running of a similar unit. (supporting documents should be attached)
- (v) Expertise in the preparation of DPR, installation, operation and maintenance of such unit, signed and stamped photocopy of supporting completion certificate/work order/any other supporting documents should be attached.
- (vi) Five years form 26 AS with GST with 3B return.
- (vii) Last Three Years Balance sheet & ITR
- (viii) The Firm/ Technical Agency/Agencies/ Institutions should not be blacklisted or debarred by any state govt. / govt. agencies / PSUs / GOI. An undertaking to this effect should be attached to the letterhead of the organization.
- (ix) The authorization for signing the Bid document shall be indicated by a duly notarized

written power of attorney (in a legally valid stamp paper of minimum Rs. 1000/- (One thousand) denomination from the competent authority accompanying the bid.

- (x) Documentary evidence for all the Eligibility Criteria are required to be submitted as part of the Eligibility Bid. Non-compliance with one or more of the requirements covered under Eligibility Criteria will lead to summarily rejection the bid.
- (xi) The Bidder shall submit duly filled eligibility/document checklist as per Annexure-A.

8. TECHNICAL BID EVALUATION CRITERIA/SCORE :

Sl No.	Particulars	Maximum Marks	Minimum Qualifying marks
1	<p>Experience of the company as on 31-12-2024</p> <ul style="list-style-type: none"> ▪ Ten marks- for qualifying 2 years ▪ One mark- for each year for completed contract, working with Government autonomous bodies/ government dept./PSUs (State/ Central) up to 5 years. ▪ Experience. For example: For 2 years' contract completed= 10 Marks For every additional year = 1 Mark up to a maximum of 5 years 	15	10
2	<p>No. of assignments completed of similar nature:</p> <ul style="list-style-type: none"> ▪ For 2 years work experience certificate / completed work order - 20 Marks ▪ 03 to 07 work experience certificate/completed work order- 05Marks <p>For example: For 2 work experience = 20 Marks For every additional work experience = 1 Mark up to a maximum of 05</p>	25	20
3	<p>Turnover of the company (Annual Average of 3 Years), i.e., FY2023-24 FY2022-23 FY2021-22</p> <p>a. 05 lakhs to 10 lakh- 10 b. 10 lakh and above –20</p>	20	10
4	<p>Net worth of the company: Net worth of the company should be positive. CA Certificate for Net Worth to be attached.</p>	10	10

5	Key Professional: 1. Project Manager – 01 No. [7 marks] 2. Project Coordinator – 06 Nos.[2x6marks] 3. Project Executive -06 Nos. [1 x 6marks] CVs (As per Format-4) of the above experts are to be submitted for Evaluation.	20	0
6	ISO Certification (before Bid Publishing date) ISO 9001-2013/2015 – 5 Marks ISO 27001-2013/2015 – 5 Marks	10	0

The minimum score to qualify technical stage -50 Marks

9. SIGNING OF CONTRACT

The Contract will be signed between District SBM-G and the agencies which are empaneled as per annexure “D”.

10. CONTRACT AMENDMENTS

Subject to the condition of the contract no variation in or modification of the terms of the contract shall be made except by an amendment signed by both parties.

11. TERMINATION

The DISTRICT SWACHH BHARAT MISSION may, without prejudice to any other remedy, by written notice of termination sent to the Agency/Agencies, terminate the contract, in whole or part, without any liability to the DSBMG/SSBMG whatsoever, if:

-

- (i) The progress is not satisfactory in terms of quality, quantity and adherence to time schedule;
- (ii) The Implementing Agency/Agencies fails to deliver any or all of the services within the periods specified in the contract, or within any extension thereof granted by the DSBMG pursuant to conditions of the contract or if the Implementing Agency/Agencies fails to perform any other obligations under the contract;
- (iii) The Implementing Agency/Agencies becomes bankrupt or otherwise insolvent.
- (iv) In any of the above events, termination will be without compensation to the Implementing Agency/Agencies, and that such termination will not prejudice or affect any right of action or remedy, which has accrued or will, accrues here after to the DSBMG and SSBMG.
- (v) For termination of the contract, either party should give minimum one-month's prior written notice.

12. NON- DISCLOSURE AGREEMENT

The Implementing Agency/Agencies (and his employees) shall not disclose any part or whole of this RFP document, of the proposal and/or any specification, plan, drawing,

pattern, sample or information furnished by DSBMG/SSBMG in connection therewith to any person other than a person employed by the implementing Agency/Agencies in the performance of the proposal and/or contract. Disclosure to any such employed person shall be made in confidence and shall extend only as far as may be necessary for purposes of such performance. The employees or the implementing Agency/Agencies such as security personnel etc. engaged will maintain strict confidentiality.

The Implementing Agency/Agencies, his / her employees and agents shall not make any use of any document or information given by the user except for the purposes of performing the contract award.

In case of any breach, the DSBMG/SSBMG shall take such legal action as may be required.

13. FORCE MAJEURE

- (i) For purpose of this clause, Force majeure means an event beyond the control of the Implementing Agency/Agencies not involving the Implementing Agency/Agencies' fault or negligence and not foreseeable. Such events may include, but are not limited to, either in its sovereign or contractual capacity, wars or revolutions, fires, floods, epidemics, quarantine restrictions and freight embargoes.
- (ii) If a Force majeure situation arises, the Implementing Agency/Agencies shall promptly notify the DSBMG/SSBMG in writing of such conditions and the cause thereof. Unless otherwise directed by the SSBMG in writing, the supplier/Bidder shall continue to perform its obligations under the Contract as far as its reasonably practical and shall seek all reasonable alternative means for performance not prevented by the Force majeure event.

14. TERMINATION FOR INSOLVENCY

The DSBMG/SSBMG may at any time terminate the Contract by giving written notice to the Implementing Agency/Agencies, if the Implementing Agency/Agencies becomes bankrupt or otherwise insolvent. In this event, the termination will be without compensation to the Implementing Agency/Agencies, provided that such termination will not prejudice or affect any right of action or remedy, which has accrued or will accrue thereafter to the DSBMG/SSBMG.

15. RESOLUTION OF DISPUTES AND ARBITRATION

- (i) The Implementing Agency/agencies shall make every effort to resolve amicably by direct informal negotiation if any disagreement or dispute arising between them under or in connection with the contract.

- (ii) If, after ninety (90) days, the parties have failed to resolve their dispute or difference by such mutual consultation, then either the DSBM/SSBMG may give notice to the other party of its intention to commence an arbitration, as hereinafter provided, as to the matter in dispute, and no arbitration in respect of this matter may be commenced unless such notice is given. For any such arbitration, a single arbitrator may be appointed with the mutual consent of both parties, the cost of which will be borne by both parties. The decision of the sole arbitrator shall be acceptable to both parties.
- (iii) If any of the party is unsatisfied with the decision of the sole arbitrator, they may give notice to the other party of its intention to commence arbitration as per the Indian Arbitration and Conciliation Act, 1996. The arbitration proceedings shall take place in Lucknow, Uttar Pradesh and English/Hindi shall be the language for Arbitration Proceedings.
- (iv) Any kind of legal matter will be in Lucknow jurisdiction only.

16.FORMATS FOR SUBMISSION:

FORMAT –1

APPLICANT'S EXPRESSION OF INTEREST

To,

Mission Director SBMG, UP,
Directorate of Panchayatiraj Department Uttar Pradesh
1st floor Lohiya Bhawan, Aliganj, Lucknow-226024”

**Sub: Submission of Expression of Interest to undertake study on Review/Appraisal of
RMSA Scheme of MHRD**

Dear

In response to the Invitation for Expressions of Interest (EOI) published on xx.xx.xxxx for the above purpose, we would like to express interest to carry out the above proposed task. As instructed, we attach 2 sets of the following documents in separately sealed envelopes and one soft copy:

1. Organizational Details (Format-2)
2. Experience in related fields (Format-3)
3. List of experts/consultants on payroll at least 3 (Format-4)
4. Financial strength of the organization (Format-5)
5. Additional information (Format-6)
6. Declaration (Format-7)

Sincerely Yours,
Signature of the applicant
[Full name of applicant]
Stamp.....
Date:

Encl.: As above.

Note: This is to be furnished on the letter head of the organization.

FORMAT –2

S.No	Organizational Contact Details	
1.	Name of Organization	
2.	Main areas of business	
3.	Type of Organization Firm/Company/partnership firm registered under the Indian Companies Act, 1956/the partnership Act,1932	
4.	Whether the firm has been blacklisted by any Central Govt./State Govt./PSU/Govt. Bodies/Autonomous? If yes, details thereof.	
5.	Address of registered office with telephone no. & fax	
6.	Address of offices in	
7.	Contact Person with telephone no.& e-mail ID	

Enclose: -

1. Copy of Certificate of Incorporation.
2. Copy of Article of Association in respect of 3above.
3. Under taking in respect of 4 above.

Signature of the applicant

Full name of the applicant

Stamp & Date

FORMAT –3

Experience in Related Fields					
Over view of the past experience of the Organization in all aspects related to Brand Building related					
S. No	Items	Number of Assignments during last 5 years	Order Value of each assignment in Lakhs of Rs. (Enclose copy of each order)	Mention the name of Client/ Organization (Enclosed completion certificates	
1	Experience of assignments of similar nature				
1.1	Experience in carrying out similar assignments in Government				
1.2	Experience in carrying out Similar assignments in Public-sector.				
	<p>Decision of Evaluating Committee in ascertaining “similar nature” and “similar assignment” will be final.</p> <div style="text-align: right; margin-top: 100px;"> <p>Signature of the applicant</p> <p>Full name of applicant</p> <p>Stamp & Date</p> </div>				

FORMAT –4

List of Manpower on payroll (at least 3)				
S.No	Name	Designation	Qualification	Relevant Experience
1.				
2.				
3.				
4.				
5.				
6.				

Signature of the applicant

Full name of applicant

Stamp & Date

FORMAT –5

Financial Strength of the Organization					
S. No	Financial Year	Whether profitable Yes/NO	Annual net profit (in Crores of Rs.)	Overall annual turnover (in Crores of Rs.)	Annual turnover from only Consultancy services rendered In India(in Crores of Rs.)
1	2022-23				
2	2023-24				
3	2024-25				
Note: Please enclose auditor's certificate in support of your claim.					

Signature of the applicant

Full name of applicant

Stamp & Date

FORMAT –6

Additional Information

1.List all enclosures related to the previous sections.

S.NO	Description	No. of pages

2.Additional information to support the eligibility as per Section7 (Not more than 2 pages).

Signature of the applicant
Full name of applicant
Stamp & Date

FORMAT-7

Declaration
<p>We here by confirm that we are interested in competing for the Services to undertake the task related to the Sanitation Scheme (Plastic waste Management) Swachh Bharat Mission Grameen.</p> <p>All the information provided here with is genuine and accurate.</p> <p>Authorized Person's Signature.</p> <p>Name and Designation:</p> <p>Date of Signature:</p> <p>Note: The declaration is to be furnished on the letter head of the organization.</p>

ANNEXURE “A”

ELIGIBILITY / DOCUMENT CHECKLIST– EVALUATION SHEET

(To be filled by the bidder)

Sl No.	Eligibility Criteria	A response along with documentary evidence	Remarks and (Page No. of relevant proof)
1	Name and address of the Bidder		
2	Name, address, email id and mobile number of the authorized contact person		
3	<p>The implementing Agency/Agencies must be registered under Society Registration Act/Trust Act/Cooperative Act/ Companies act/ Partnership Act/ Proprietorship Act.</p> <p><i>Signed and stamped photocopies of supporting documents should be attached.</i></p> <p><i>Photocopy of the agreement signed for joint venture/consortium should be submitted wherein the Lead Partner should be clearly mentioned.</i></p>		
4	<p>The Firms /Agency/Agencies/ Institutions must possess a minimum of 5 years' experience, expertise in the preparation of DPR, installation, operation and maintenance Plastic Waste Management Unit</p> <p><i>Signed and stamped photocopy of supporting completion certificate/work order/any other supporting documentation should be attached.</i></p>		
5	<p>The Bidder shall attach self-attested copies of GST Registration no. with 26 AS GST 3B, Income Tax Permanent Account No. (PAN)/ TAN, PF and ESI Registration with relevant certificates as applicable.</p>		

6	The Firms/Technical Agency/Agencies/ Institutions should not be blacklisted or debarred by any state govt. / govt. agencies / PSUs / GOI. <i>An undertaking to this effect should be attached to the letterhead of the organization.</i>	:		
7	The undertaking as per Annexure-B Attached.		Yes/No	
8	Whether Clause-wise compliance submitted as required in the Eligibility Criteria		Yes/No	

We undertake that we fulfill the Eligibility Criteria, as per Clause 4. We agree to abide by the terms and conditions of this bid, for the bid validity period and it shall remain binding upon us and may be enforced at any time before the expiry of that period. Until a formal contract is prepared and executed, this bid, together with your written acceptance thereof and your notification of the award shall constitute a binding contract between us.

Dated this.....day of.....2025.....

(Signature of person duly authorized to sign on behalf of the Bidder)

ANNEXURE “B”

Undertaking

(To be submitted along with Eligibility Bid on a legally valid stamp paper of minimum Rs.1000/- (one thousand) denomination)

We, the undersigned hereby give our unconditional acceptance to all the Clauses of Request for proposal against the EOI no. dated

We unconditionally agree that SSBMG has all the rights to evaluate the bids and the decision taken by the SSBMG will be final and binding on us.

We agree that SSBMG reserves the right to cancel the order without any liability to the SSBMG if the progress is not satisfactory interim so quality, quantity and time. In such a case, the SSBM-G reserves the right to award the contract to any other Bidder to complete the work.

Further, we unconditionally agree that in the event of our deviation from the EOI conditions during the execution of the project which results in project delays or affects the quality of the output, SSBMG can terminate the contract without assigning any reasons and we will not lodge any claims on SSBMG for any liabilities.

For the Bidder’s Firm

(Authorized Signatory with Name and Stamp)

ANNEXURE “C”

Form of Contract Agreement

This CONTRACT named “.....” (hereinafter called the “Contract”) is made on the day of the month of, 2025, between, on the one hand, DISTRICT SBMG (hereinafter called the _____ “Purchaser”) and, on the other hand, (Here-in-after called the “Implementing

Agency/Agencies”). WHEREAS

- (a) The Purchaser has requested the Agency/Agencies to provide “.....” (herein called the Services);
- (b) the Agency/Agencies, having represented to the Purchaser that it has the required professional skills and personnel and technical resources, has agreed to provide the Services on the terms and conditions set forth in this contract at a contract price of Rs;
- (d) From Purchaser side Mr./Ms., has been assigned to administer the Assignment and to provide the Implementing Agency/Agencies with all relevant information needed to carry out the assignment;
- (e) From Agency/Agencies side Mr./Ms. has been assigned to administer the assignment and to provide all relevant information regarding the assignment to the Purchaser.

NOW THEREFORE the parties hereto hereby agree as follows:

1. The following documents attached hereto shall be deemed to form an integral part of this Contract:
 - (a) Letter of Intent
 - (b) EOI No. dated and corrigendum/addendum issued from time to time
 - (c) Queries and Clarifications
 - (d) Technical Negotiation, if any.
2. The mutual rights and obligations of the Purchaser and the Agency/Agencies shall be as set forth in the Contract, in particular:

- (a) the Agency/Agencies shall carry out the Services by the provisions of the Contract; and
- (b) The Purchaser shall make payments to the Agency/Agencies by the provisions of the Contract.

IN WITNESS WHEREOF, the Parties hereto have caused this Contract to be signed in their respective names as of the day of month of 2025 first above written.

For and on behalf of For and on behalf of Implementing Agency/Agencies
(DISTRICT SBM-G)

Name:
Designation:

Name:
Designation:

Witness 1:

Witness 1:

Witness 2:

Witness 2:

Thanks